

# **FULL-FIELD OPTICAL COHERENCE TOMOGRAPHY AND ITS APPLICATION TO MULTIPLE-LAYER INFORMATION DECODING**

## **Field of the Invention**

This relates generally to the field of optical interference tomography, and in particular to  
5 a method and apparatus for performing full-field optical coherence tomography for  
multiple-layer structure imaging and information retrieval.

## **Background of the Invention**

Tomography is the art of creating an image of a sectional plane within a body. Optical  
coherence tomography (OCT) is a technique for high-resolution cross-sectional imaging  
10 of scattering media. The basic technique is described in Brett E. Bouma and Guillermo J.  
Tearney, Handbook of Optical Coherence Tomography. Marcel Dekker Inc., New York  
Basel, 2002, the contents of which are herein incorporated by reference.

Most OCT systems use 3-axis point-scanning based technology. However, this technique  
is slow and cumbersome. A few OCT systems work directly on a two-dimensional full  
15 field image using a sinusoidal-phase-modulation method. Examples of such systems are  
described in Arnaud Dubois, Laurent Vabre, Albert-Claude Boccara and Emmanuel  
Beaurepaire, "High-resolution full-field optical coherence tomography with a Linnik  
microscope", Applied Optics, 41, 4, 805-812, 2002; and Arnaud Dubois, "Phase-map  
measurements by interferometry with sinusoidal phase modulation and four integrating  
20 buckets," Journal of Optic Society of America, A, 18, 8, 1972-1979, 2001. The related  
electronics hardware and algorithm make such systems complex and expensive.

## Summary of the Invention

The present invention simplifies the full field OCT system and overcomes other limitations including the inter-layer effect compensation and phase noise removal. The invention is especially well-suited to the decoding of information from multi-layer carriers, although it can have other applications in the field of tomography.

According to the present invention there is provided a method of extracting a tomographic image of a target layer within a body by optical coherence tomography, comprising capturing a non-interference background image  $I_d(x,y)$  of the body; capturing a first interference-fringe image of said target layer  $I_0(x,y)$ ; capturing a second interference-fringe image  $I_\phi(x,y)$  of said target layer phase-shifted by an amount  $\phi$  relative to said first interference-fringe image; and computing said tomographic image  $A(x,y)$  by mathematical manipulation of said non-interference image and said first and second interference-fringe images.

The method in accordance with the invention allows information to be encoded on multiple layers within a carrier and then quickly and conveniently retrieved by OCT.

In a preferred embodiment random phase noise is removed by averaging images taken at different times. Image distortion resulting from inter-layer effects is compensated by applying a compensation operation.

In a further aspect the invention provides an apparatus for extracting a tomographic image of a target layer within a body by optical coherence tomography, comprising an interferometer for creating interference-fringe images of layers within said body; a camera for capturing images of said body including a non-interference background image  $I_d(x,y)$ ; a computer for controlling said interferometer to enable said camera to

capture a first interference-fringe image of said target layer  $I_0(x,y)$  and a second interference-fringe image  $I_\phi(x,y)$  of said target layer phase-shifted by an amount  $\phi$  relative to said first interference-fringe image; and said computer being programmed to compute said tomographic image  $A(x,y)$  by mathematical manipulation of said non-interference background image and said first and second interference-fringe images.

The interferometer is preferably a Michelson interferometer, although other types of interferometer may be employed.

In a still further aspect the invention provides a method of encoding information on a carrier, comprising providing a substrate having a solid background color; and providing a stack of multiple layers on said substrate, each having information printed thereon with a transparent ink.

### **Brief Description of the Drawings**

The invention will now be described in more detail, by way of example only, with reference to the accompanying drawings, in which:

Figure 1 is a simplified diagram showing an optical coherence tomography system;

Figure 2 shows the structure of an info-chip;

Figure 3 shows a direct reflection image of the info-chip;

Figure 4 shows the interference image at the first layer;

Figure 5 shows the interference image at the second layer;

Figure 6 shows the interference-fringe-removed image of the first layer;

Figure 7 shows the interference-fringe-removed image of the second layer;

Figure 8 shows the inter-compensated image of the first layer; and

Figure 9 shows the inter-compensated image of the second layer.

### Detailed Description of the Preferred Embodiments

The invention relies on optical coherence tomography. This utilizes a partial coherence  
5 light source and interferometer, typically a Michelson interferometer, to extract the cross-sectional images at different depth or layer within a body. In the preferred embodiment the body is an information carrier having multiple layers having information printed on them with a transparent ink.

In a multi-layer body, the interference image generated by a selected layer can be written  
10 as

$$I_0(x,y) = I_d(x,y) + A(x,y) \sin \phi(x,y) , \quad (1)$$

where  $I_0(x,y)$  is the received image,  $I_d(x,y)$  is the direct-reflection image or background image,  $A(x,y)$  is the image at this layer,  $\sin( )$  represents the interference-fringe.

To obtain the real image  $A(x,y)$  from Eq. (1), three images are needed. The first one is  
15  $I_d(x,y)$ , which can be obtained as the non-interference background image. The second image,  $I_0(x,y)$  is captured as the interference-fringe image defined by Eq. (1). The last one,  $I_{\pi/2}(x,y)$ , is captured as that of the  $I_0(x,y)$  with a phase difference of  $\pi/2$  introduced to the beam,

$$\begin{aligned} I_{\pi/2}(x,y) &= I_d(x,y) + A(x,y) \sin [\phi(x,y) + \pi / 2 ] \\ &= I_d(x,y) + A(x,y) \cos \phi(x,y) . \end{aligned} \quad (2)$$

In order to obtain the image  $A(x,y)$  from equations 1 and 2 , the first step is to eliminate the direct-reflection image:

$$D_1(x,y) = I_0(x,y) - I_d(x,y) = A(x,y) \sin \phi(x,y) , \quad (3)$$

$$D_2(x,y) = I_{\pi/2}(x,y) - I_d(x,y) = A(x,y) \cos \phi(x,y) . \quad (4)$$

Then, the interference fringes can be removed by the summation of  $D_1$  and  $D_2$  squared

$$\begin{aligned} D_1^2(x,y) + D_2^2(x,y) &= A^2(x,y) \sin^2 \phi(x,y) + A^2(x,y) \cos^2 \phi(x,y) \\ &= A^2(x,y) [\sin^2 \phi(x,y) + \cos^2 \phi(x,y)] \\ &= A^2(x,y) . \end{aligned} \quad (5)$$

Finally, the image  $A(x,y)$  can be obtained from the equation

$$\begin{aligned} A(x,y) &= \{ D_1^2(x,y) + D_2^2(x,y) \}^{1/2} \\ &= \{ [(I_0(x,y) - I_d(x,y))]^2 + [(I_{\pi/2}(x,y) - I_d(x,y))]^2 \}^{1/2} . \end{aligned} \quad (6)$$

10 In fact, the phase  $\pi/2$  in Eq. (2) can be replaced by any arbitrary phase  $\phi$ , in which case

$$I_\phi(x,y) = I_d(x,y) + A(x,y) \sin [\phi(x,y) + \phi] , \quad \text{and} \quad (7)$$

$$D_2 = A(x,y) \sin[\phi(x,y) + \phi] ,$$

Eq. (6) can be generalized to

$$A = \{ D_1^2 + [(D_2 - D_1 \cos \phi) / \sin \phi]^2 \}^{1/2} . \quad (8)$$

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When  $\phi = \pi/2$ , Eq. (5) and Eq.(7) are identical.

To remove the random noise resulting from unsteady phase changes and vibrations, it is desirable to capture  $N$  images of  $I_d(x,y)$  and  $I_\phi(x,y)$  at different times. These can be averaged in accordance with

$$20 \quad I_0(x,y) = (1/N) \sum_i I_0(x,y) \mid_{t=i} . \quad i = 1, 2, 3, \dots, N$$

and 
$$I_{\varphi}(x,y) = (1/N) \sum_i I_{\varphi}(x,y) \mid_{t=i} \cdot i = 1,2,3, \dots N \quad (9)$$

The depth resolution of an OCT system is determined by coherence length of the light source.

5 The configuration of one practical embodiment of an optical system for implementing the above method is shown in Figure 1. This consists of a modified Michelson interferometer 10 that incorporates a tilted cubic beam splitter 12 and a spatial filter mask 14 associated with an aperture stop 34 set in the back focal plane of the lens 16. This tilt angle  $\alpha$  should be below about  $5^\circ$  and in this example is about  $1.7^\circ$ . The interferometer 10 has as a light  
10 source a superluminescent diode 18. As in any OCT system, the depth resolution is determined by the coherence length of the light source.

The output of the superluminescent diode 18 is collimated by lens 20. The non-polarizing beam splitter 12 separates light into the reference arm 22 and sample arm 24 of the interferometer. A neutral density filter 26 is used to adjust the intensity of the reference  
15 beam reflected from the reference mirror 28 mounted on a translation stage 40 controlled by computer 38.

The lens 16 images the sample, info-chip or information carrier 30 mounted on sample holder 36 on the CCD camera 32 connected to the computer 38. The information carrier 30 consists of a substrate with a solid black background layer and a plurality of  
20 transparent information layers each bearing information printed with a transparent ink.

By performing a Fourier transform, the lens 16 focuses the strong light reflected from the surfaces of the beam splitter on the blocking area of the spatial filter mask 14. This results in the minimization of the DC noise resulting from the beam splitter 12.

The spatial filter mask 14 is a two-dimensional DC block function defined by

$$f(x, y) = \begin{cases} 0, & \subset A_s(x, y) \\ 1, & \text{otherwise} \end{cases}, \quad (10)$$

where  $A(x, y)$  is the DC spot formed by the reflective lights of BS surfaces passing through the lens 16.

The tilting angle  $\alpha$  of the beam splitter 12 ensures the spatial filter mask 14 only removes the DC component coming from beam splitter 12 rather than from the reference mirror

10 28.

The least thickness of each layer of the information carrier 30 is determined by the depth resolution of the OCT, which as noted above in turn depends on the coherence length of the light source. Both sides of the layer are coated with anti-reflective coating. The encoding procedure involves writing or printing the two dimensional information, image or text, on one side of a layer. The ink applied should be transparent and be distributed evenly.

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The differences of phase and reflectance between the ink and surface of the layer make the two-dimensional information distinguishable. For the purpose of protection, a hard film with a near infra-red window is provided at the top of the chip. The information

20 layers are all bonded together and mounted on a substrate that is solid and completely black. Figure 2 shows one example of an info chip 30. Multiple information layers 52 are supported on substrate 54 and protected by a protective film 50.

The optical system described with reference to Figure 1 can be used to extract the cross-sectional image at each layer. In this exemplary embodiment, the superluminescent diode 18 forming the light source has a central wavelength is 830 nm and longitudinal resolution of 20  $\mu\text{m}$ . The information carrier 30 is placed on the sample holder 36 in the sample arm 24 and a suitable reference mirror 28 is mounted on the reference arm 22 of the interferometer.

The computerized translation stage 40 controls the position of the reference mirror 28 such that it can scan through the info-chip 30 by moving the reference mirror 28. The CCD camera 32 captures the image from the appropriate information layer 52 and transfers the data to the computer 38.

As discussed above, three images are needed to produce a tomography image. The first image, direct-reflection image  $I_d(x,y)$ , is obtained before the scanning starts, and with the optical path length of the reference arm set to be shorter than the length of sample arm. Subsequently, during the course of scanning the carrier, at each layer of the OCT system captures two interference images: a direct interference image, and a  $\pi/2$ -phase-difference image. These can be generated either by a positioner or by a phase retardation plate. From these images, The computer 38 obtains the cross-sectional image of the selected layer by solving Eq. (5) or (6). Equation (8) may be used to remove the random phase noise; this procedure requires capturing more images at the each position for  $I_0(x,y)$  and  $I_\phi(x,y)$ .

To remove the inter-layer effect, the computer 38 should preferably perform a compensation operation for the OCT image  $A(x,y)$  at each layer by applying a compensation operation

$$F(x,y) = [ A(x,y) + k \bullet I_d(x,y) ]^m, \quad (11)$$



where  $k$  is a weighting factor, range 0~1 and  $m$  is an index, 1~3.  $F(x,y)$  is the fully compensated tomography image.

Figures 3 to 9 show a set of images obtained during decoding of an information carrier.

The information encoded on the first layer is "NRC", and second layer is "OCT".

5 3 shows an IR image  $I_d(x,y)$ , the direct-reflection image of the carrier, on which "OCT" and "NRC" are overlapped and fused together. Figures 4 and 5 show the interference images at the first and second layer, respectively. The tomography images of the first layer and the second layer are shown in Figure 6 and Figure 7. Figure 8 and Figure 9 show the inter-compensated images of the first layer and second layer, respectively.

10 Though the above system is described using a Michelson interferometer, other types of interferometer are also applicable to the invention. It will be further understood by persons skilled in the art that numerous other embodiments may be envisaged without departing from the spirit and scope of the invention.